



Atty. Dkt. No. 070191-0320 (31-CD-6181)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Surwillo, John M., et al.

Title: A MEDICAL TESTING SYSTEM
WITH AN ILLUMINATING
COMPONENT AND AUTOMATIC
SHUT-OFF

Appl. No.: 09/915,672

Filing Date: 07/26/2001

Examiner: Not Yet Assigned

Art Unit: 3761

<p>CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.</p> <p><u>LILLIAN M. CURRY</u> (Printed Name)</p> <p><u>Lillian M. Curry</u> (Signature)</p> <p><u>11/8/01</u> (Date of Deposit)</p>

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Attn: Appl. Processing Division, Customer Correction Branch

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above-referenced application.

There is an error with respect to the attorney docket number. The docket number should read: 070191-0320 (31-CD-6181).

The correction is not due to any error by Applicant and, accordingly, no fee is due. Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Date

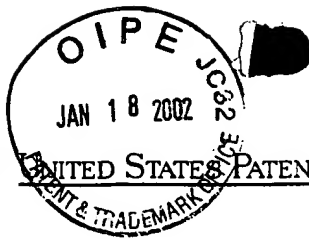
11/8/01

By

Neal Marcus

FOLEY & LARDNER
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330 North Wabash Avenue, Suite 3300
Chicago, Illinois 60611-3608
Telephone: (312) 755-2557
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Neal D. Marcus
Attorney for Applicant
Registration No. 35,267



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/915,672	07/26/2001	3761	942	070191/320 (31 -CD-6181)	6	24	5

Should be:
31-CD-6181

CONFIRMATION NO. 7286

FILING RECEIPT



OC00000006547571

Neal D. Marcus
FOLEY & LARDNER
One IBM Plaza
330 North Wabash Avenue, Suite 3300
Chicago, IL 60611-3608

Date Mailed: 09/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

John M. Surwillo, Milwaukee, WI;
Patricia J. Mikula, Thiensville, WI;
Gary J. Secora, Brookfield, WI;
Glenn Stern, Residence Not Provided;

Domestic Priority data as claimed by applicant

F reign Applications

If Required, Foreign Filing License Granted 09/03/2001

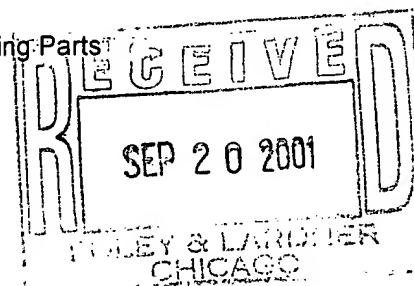
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

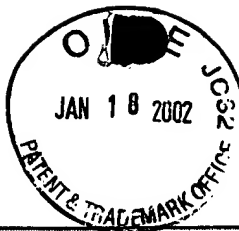
Title

Medical testing system with an illuminating component and automatic shut-off



Preliminary Class

604

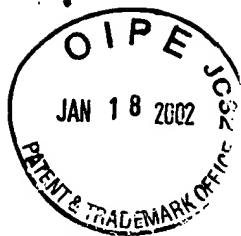


Data entry by : ZEWDIE, BROUKTAWIT

Team : OIPE

Date: 09/13/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Atty. Dkt. No. 070191-0320 (31-CD-6181)

Receipt
✓
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Surwillo, John M., et al.
Title: A MEDICAL TESTING SYSTEM
WITH AN ILLUMINATING
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Appl. No.: 09/915,672
Filing Date: 07/26/2001
Examiner: Not Yet Assigned
Art Unit: 3761

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**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on September 13, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ Declaration and Power of Attorney (4 pages)
- ☒ Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- ☐ Check in the amount of -0- in payment of surcharge fee (37 C.F.R. § 1.16(e))
- ☒ Please charge Deposit Account No. 07-0845 in the amount of \$130.00 in payment of the surcharge fee (37 C.F.R. § 1.16(e)).

Applicant also submits the following documents for filing with the U.S. Patent and Trademark Office:

- ☒ Preliminary Amendment
- ☒ Proposed Drawing Changes
- ☒ Request for Corrected Filing Receipt

[X] Recordation Form Cover Sheet and Assignment.

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 07-0845. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 07-0845.

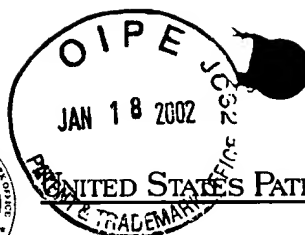
Respectfully submitted,

Date 11/8/01

By Neal Marcus

FOLEY & LARDNER
One IBM Plaza
330 North Wabash Avenue, Suite 3300
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Telephone: (312) 755-2557
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Neal D. Marcus
Attorney for Applicant
Registration No. 35,267



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/915,672	07/26/2001	John M. Surwillo	070191/320(30-CD-6181)

CONFIRMATION NO. 7286

FORMALITIES LETTER



OC000000006547572

Neal D. Marcus
FOLEY & LARDNER
One IBM Plaza
330 North Wabash Avenue, Suite 3300
Chicago, IL 60611-3608

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/24/2002 BSAYASI1 00000063 070845 09915672

01 FC:105 130.00 CH



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PROPOSED DRAWING CHANGES

Commissioner for Patents
Box NON-FEE AMENDMENT
Washington, D.C. 20231

Sir:

Applicant submits herewith Proposed Drawing Changes for the above-identified application. Applicant also submits herewith copies of Figures 1 and 5 showing the proposed changes in red ink. In particular, the reference numeral for bin 40 has been changed to "42" in Figure 1 and reference number 70 has been added to Figure 5.

Applicant respectfully requests that the Examiner approve the proposed drawing changes.

Respectfully submitted,

Date 11/8/01

By Neal D. Marcus

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Chicago, Illinois 60611-3608
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